

Docket No. 289020US0PCT



**MAIL STOP PCT**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Jong Ho KWON, et al.

SERIAL NO: 10/574,797

GAU:

FILED: April 6, 2006

EXAMINER:

FOR: METHOD FOR PREPARING OXYTITANIUM PHTHALOCYANINE CHARTGE GENERATING MATERIAL AND APPARATUS FOR PREPARING THE SAME

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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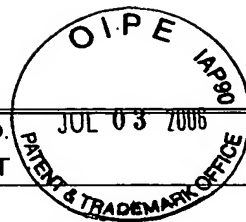
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
289020US0PCTSERIAL NO.  
10/574,797

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Jong Ho KWON, et al.

FILING DATE

April 6, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 485 658	11/26/02	HORIUCHI et al.			
	AB	6 225 015	01/05/01	OKAJI et al.			
	AC	5 164 493	11/17/92	MAYO et al.			
	AD	5 132 197	07/21/92	IUCHI et al.			
	AE	5 194 354	03/16/93	TAKAI et al.			
	AF	5 298 353	03/29/94	OHMORI			
	AG	5 593 805	01/14/97	GO et al.			
	AH	4 728 592	03/01/88	OHAKU et al.			
	AI	5 252 417	10/12/93	TOKIDA et al.			
	AJ	5 567 559	10/22/96	YANG et al.			
	AK	6 284 420	09/04/01	LIU et al.			
	AL	4 898 799	02/06/90	FUJIMAKI et al.			
	AM	4 994 339	02/19/91	KINOSHITA et al.			
	AN	5 039 586	08/13/91	ITAMI et al.			
	AO	4 664 997	05/12/87	SUZUKI et al.			
	AP	5 213 929	05/25/93	TAKANO et al.			
	AQ	5 972 551	10/26/99	MIYAUCHI et al.			
	AR	6 447 965	09/10/02	NAKAMURA et al.			
	AS	5 350 844	09/27/94	MARTIN et al.			
	AT	4 971 877	11/20/90	MIYAMOTO et al.			
	AU	5 786 121	07/28/98	RICHTER et al.			
	AV	5 773 184	06/30/98	FULLER, Jr. et al.			
	AW	6 521 387	02/18/03	KAWASAKI			
	AX	5 059 355	10/22/91	ONO et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AY	1994-7962	08/31/94	KR (English abstract only & equivalent of US5 132 197)		NO
	AZ	62-256865	11/09/87	JP (with English abstract & equivalent of US4 777 251)		NO
	AAA	2003-0058987	0707/03	KR (with English abstract & English translation)		NO
	AAB	2003-0022179	03/15/03	KR (with English abstract & English translation)		NO
	AAC	2003-0022831	03/17/03	KR (with English abstract & English translation)		NO
	AAD	2003-0058988	07/07/03	KR (with English abstract & English translation)		NO
	AAE	2003-0086508	11/10/03	KR (with English abstract & English translation)		NO
	AAF	2003-0086509	11/10/03	KR (with English abstract & English translation)		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAG	YAO, Jiachang et al., "A Convenient Synthetic Method for Pure Oxo (phthalocyaninato) titanium (IV) and Application to Other Metal Phthalocyanines" Bull. Chem. Soc. Jpn., Vol. 68, No. 3, Pages 1001-1005, 1995.	<input type="checkbox"/> Additional References sheet(s) attached
Examiner			Date Consid ered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. PCT Application Serial No: 10/574,797

Filed: April 6, 2006

Jong Ho KWON, et al.

Docket No. 289020 US

#### STATEMENT OF RELEVANCY

- 1) References AA - AC & AY have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AD - AX, AZ - AAA & AAG are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AAB - AAF are additional prior art known to Applicant. A copy of these references is being submitted herewith.



Docket No.: 289020US0PCT			Serial No.: 10/574,797		
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56			Inventor: Jong Ho KWON, et al.		
			Filing Date: April 6, 2006		Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	281215US0PCT	10/556,785	11/14/05		KWON, et al.
	289020US0PCT*	10/574,797	04/06/06		KWON, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/kch